

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.3

Change Proposal reference
(To be completed by the TP Sec.)

MCCP262

Version No.

B.1

SUBMISSION

GENERAL DETAILS

TITLE September 2021 Drafting

COMPANY CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

AUTHORISED
SIGNATURE

NAME

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ASSOCIATED MCCP /
OCCP

ASSOCIATED DOCS.

PROPOSED URGENCY NON-URGENT

REASONS FOR URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

MCCP / OCCP DETAILS

ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS

Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

The purpose of this MCCP is to capture final refinements to the Market Code and Code Subsidiary Documents (CSDs), required as a consequence of the development of the September 2021 Release, and to consolidate the required Market Code and CSD changes which have already been described in the Approved Changes that form the scope of that Release. The MCCP also picks up inconsistencies and or gaps identified from previously Approved Changes.

Since February 2020, a number of these inconsistencies have been identified, both by the CMA and by Trading Parties, with respect to the naming conventions used for certain Data Items in different documents and in the CMA Central Systems. The issues identified fall into the following broad categories (and where changes to the CMA Central Systems are unavoidable, these have been separately identified and will be delivered as part of the September 2021 Release):

- Use of control characters. A number of Data Items and Transactions carry titles in CSDs that include control characters (such as forward slashes) and these are disallowed in the CMA CS, necessitating name changes in any system context. This proposal seeks to retain system naming conventions, so far as is practical and make suitable changes to equivalent names in documentation to minimise system developments but deliver a more consistent set of Data Item and Transaction names. The convention to be adopted is:
 - XSD. Data Item and Transaction names will be unchanged.
 - LVI. Data Item and Transaction names will be as now, except where discrepancies with the XSD exist. Changes will be made to remove these.
 - MC and CSDs. Data Item and Transaction names will be as now, except where discrepancies with the XSD exist. Changes will be made to remove these, subject to the following additional provisos:
 - Transaction and Data Item numbers will be used.
 - Full words will be used.
 - Spaces between words will be used.
- MDS Report Layouts. There are some discrepancies between the file layouts of the MDS given in CSD0302 and the MDS Reports as produced by the LVI. This proposal identifies where CSD0302 should be amended to reflect actual MDS layout.
- Error Code improvements. Various error code text clarifications and simplifications are proposed to improve the information provided to participants when submission errors occur. The convention to be adopted is:
 - Data Items will carry names that are fully consistent with those in CSD0301
 - Data Item Numbers will not be included.
 - One new error code is considered to be required, to split out a current code that incorporates two related scenarios.
- T012 Changes: The changes made in MCCP261 to the T012 transactions require a minor additional amendment to the T012.9 Notification Transaction. All fields in this transaction should be optional, with the content being conditional on the initiating update transaction.

DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS
Required under Market Code Parts 8.7.1 (ii) © and 8.8.1 (ii) ©

General Description

The following are the additional changes outlined above:

- **CSD0002 Performance Standards.**
 - The T015.0 txn name should be changed from 'Update SPID Status' to 'Submit SPID Status'.
- **CSD0101 Registration: New Connections & New Supply Points**
 - The T006.6 txn name should be changed from 'Provide Live Rateable Value' to 'Submit Live Rateable Value'.
 - The T015.0 txn name should be changed from 'Update SPID Status' to 'Submit SPID Status'.
 - Section 3.3 should be amended to remove the following text: *"Where a new SPID is for Sewerage Services only it is the Licensed Provider's responsibility to ensure that the connection date of the SPID is aligned with any backdating requirement or Live*

Rateable Value date." This should have been removed with the changes to SS SPID Connection ownership (MCCP239)

- **CSD0102 Registration Transfers**
 - The T008.0 and T008.1 notification transactions should include the Transfer Reason Code, Prospective Customer, Prospective Customer Occupancy Date and Free Text, as submitted in any associated T003.1.
- **CSD0104 Maintain SPID Data (Part 1 – SPID Level Data)**
 - The T012.7 txn name should be changed from 'Update Live Rateable Value' to 'Submit Live Rateable Value'.
 - The T015.0 txn name should be changed from 'Update SPID Status' to 'Submit SPID Status'.
 - The T015.3 txn name should be changed from 'Notification of a Back-dated SPID Status Update' to 'Notify Back-dated SPID Status'
- **CSD0104 Maintain SPID Data (Part 2 – Meter Level Data)**
 - The T013.1 txn name should be changed from 'Update Meter Data' to 'Notify Meter Data'.
 - The T013.2 txn name should be changed from 'Update Meter Location' to 'Submit Meter Location'.
- **CSD0105 Error Rectification & Retrospective Amendments**
 - The T012.7 txn name should be changed from 'Update Live Rateable Value' to 'Submit Live Rateable Value'.
 - The T012.10 txn should be added as an Error Rectification transaction.
 - The T013.2 txn name should be changed from 'Update Meter Location' to 'Submit Meter Location'.
 - The T015.0 txn name should be changed from 'Update SPID Status' to 'Submit SPID Status'.
- **CSD0206 Trade Effluent Processes**
 - The T015.0 txn name should be changed from 'Update SPID Status' to 'Submit SPID Status'
- **CSD0301 Data Transaction Catalogue**
 - For all of the above txns, similar name changes should be applied.
 - The T029.2 txn entry should be amended from 'Removed September 2013' to 'No longer used'.
 - The T031.0 txn entry should be amended from 'EWA Notification (Transaction disabled)' to 'No longer used'.
 - The D2047 Data Item name should be changed from 'LP Dereg/PDISC Rejection Indicator' to 'LP Rejection Indicator'.
 - Error Code II should be changed, as follows (red text): Meter Network, Sub Meter **is a market meter non-domestic** but the Sub SPID Is not provided OR the Sub Meter is **a non-market meter domestic** yet a Sub SPID is provided.
 - Error Code MO should be changed as follows (red text): Surface Area must **not** be **provided zero** if SA Indicator is RV.
 - Error Code MK should be changed as follows (red text): Surface Area **must be provided and** must be greater than zero if SA Indicator is SA.
 - The T008.0 and T008.1 transactions should be modified to include: the D4019 Transfer Reason Code, the D2049 Prospective Customer, the D2050 Prospective Customer Occupancy Date and the D4003 Free Text.
 - The T012.9 transaction should be changed so the D4006 Effective From is now Optional and the description of the transaction should be enhanced, as follows (red text): Notifies SW of the update of non-chargeable SPID data by the LP, or by SW for TTRAN SPIDs.

Only the D4006, D2005 and D2008 will be populated following a T012.10 and only the remaining Data Items will be populated following a T012.0.

- **CSD0201 Settlement Timetable and Reporting.**
 - Details pertaining to Phasing Transitional Arrangements and LUVA should be removed from Appendix 3.3 as these components are being removed from the CS (see MCCP263)
- **CSD0302 Standing Reports and Data Extracts.**
 - The MDS Report layouts should include the following additional Data Items, as per actual current MDS content:
 - The X35 and X39 reports should have D3020_RolloverIndicator and D3021_RolloverFlag appended to the end of the layouts
 - The MDS Reports should be amended to reflect the actual Data Item ordering as per current MDS.
 - The D2029 field should be renamed from D2029_MeteredBldgWaterFlag to D2029_MeteredBuildingWater

NOTE: Some RA error code enhancements are also anticipated, and these will be described in updates to the Additional Services Schedule. However, as these do not involve any change to the Market Code or CSDs, they will be described in a CMACPx.

Principles and Objectives affected
CMA Guidance Note GN009 may be referred to for assistance with this section

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	See below
Transparency	Y	Data Item, Transaction and Error Code names will be made simpler and more consistent across documentation and in the CMA CS, removing ambiguity.
Simplicity, Cost-effectiveness, and Security	Y	See below.
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	N	

<p>DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)</p>
See Attachments.
<p>IMPLEMENTATION DETAILS</p>
<p>PROPOSED IMPLEMENTATION DATE OR LEAD TIME Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section 0. Any quoted lead time should commence from date of Approval.</p>
September 2021
<p>ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION</p>
<p>ANY OTHER COMMENTS</p>

TP ASSESSMENT			
ASSESSMENT PROCESS			
ASSESSMENT START DATE	yyyy-mm-dd	ASSESSMENT END DATE	yyyy-mm-dd
IMPACT ASSESSMENT REQUIREMENT		IA REQUIRED / IA NOT REQUIRED	
CONSULTATION REQUIREMENT		TP CONSULTATION REQUIRED / TP CONSULTATION NOT REQUIRED	
ASSOCIATED DOCUMENTS (to this Part B)			
ASSESSMENT DETAILS			
CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)			
CMA INTERNAL SYSTEMS IMPACT			
DRAFT LEGAL TEXT (if different from that originally submitted)			
<p>Following notice from WICS (17/8/21) updated drafting to MCCP259 in relation to CSD0102 2.1.2</p> <p>Step a: Registration Application [T003.1]</p> <p>When a Licensed Provider acquires a new Customer in respect of the provision of Water Services or Sewerage Services, it will submit a Transfer Registration Application to the CMA, using Data Transaction T003.1 (Registration Application) in respect of the relevant Supply Point(s) related to those Services.</p> <p>The Transfer Registration Application must be submitted within the Application Window (not more than 20 BDs and not less than 6 BDs prior to the Registration Start Date).</p> <p><u>The T003.1 should include a valid Transfer Reason Code, with an appropriate Text Comment and other content, as follows:</u></p> <ul style="list-style-type: none"> • <u>CR(Commercial Requirement), to be used where the transfer is associated with a new contractual arrangement, but with no regulatory requirement and no new customer. The Text Comment should confirm that either a valid Contract is in place, or identify the contract type, as defined in the Market Code and where such contract is deemed, agreement with the Outgoing LP should be confirmed has been reached.</u> • <u>NC (New Customer), to be used where the transfer is associated with a new customer occupying the premises, but with no regulatory requirement. If this Transfer Reason Code is used, a valid Prospective Customer and Prospective Customer Occupancy Date should also be provided.</u> • <u>RR (Regulatory Requirement), to be used where the transfer is associated with a regulatory requirement. The Text Comment should identify the reference for any communication dealing with such requirement.</u> 			
TP ASSESSMENT			

Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)	
Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	N/A
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	N/A
TP DECISION	TP APPROVED
FINAL TP VIEWS	Approved with the updated text above on 2021-08-19
PLANNED IMPLEMENTATION DATE	September 2021

WITHDRAWN BY PROPOSER?	No
COMMENTS	
DATE OF WITHDRAWAL	

COMMISSION APPROVAL	
DATE FINAL REPORT ISSUED TO COMMISSION	2021-09-06
APPROVAL STATUS	APPROVED CHANGE / REJECTED
DATE OF APPROVAL STATUS	yyyy-mm-dd
COMMISSION RESPONSE REFERENCE	

IMPLEMENTATION	
IMPLEMENTATION DATE	Sept 2021
IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	